

01/14/02

+

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

00865.004059.2

First Named Inventor or Application Identifier

KENICHI KIMURA, ET AL

Express Mail Label No.

J01051 U.S. PTO
10/04/02
01/14/02

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification Total Pages
4. ☒ Drawing(s) (35 USC 113) Total Sheets
5. ☒ Oath or Declaration Total Pages
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed Statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)
6. ☒ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other: Request for Approval to Amend the Drawings with
10 sheets of drawings; Letter Transmitting Corrected
Formal Drawings with 13 sheets formal drawings

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/612,290 filed July 7, 2000

Prior application information: Examiner S. Sugarmen Group/Art Unit: 2873

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18 CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

NAME				
Address				
City	State	Zip Code		
Country	Telephone	Fax		



CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c))		7 -20 =	0	X \$ 18.00 =	\$ 0.00
INDEPENDENT CLAIMS (37 CFR 1.16(b))		2 -3 =	0	X \$ 84.00 =	\$ 0.00
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				\$280.00 =	\$ 0.00
				BASIC FEE (37 CFR 1.16(a))	\$740.00
				Total of above Calculations =	\$740.00
				Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).	
				TOTAL =	\$740.00

19. Small entity status

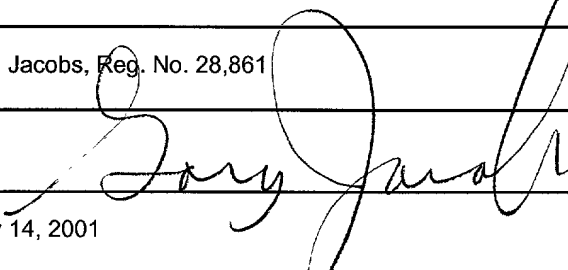
- a. ☐ A small entity statement is enclosed
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

20. ☒ A check in the amount of \$ 740.00 to cover the filing fee is enclosed.

21. ☐ A check in the amount of \$ _____ to cover the recordal fee is enclosed.

22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
NAME	Gary M. Jacobs, Reg. No. 28,861
SIGNATURE	
DATE	January 14, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
: Examiner: S. Sugarman
KENICHI KIMURA, ET AL.)
: Group Art Unit: 2873
Appln. No.: Unassigned)
(Divisional of)
Appln. No.)
09/612,290 filed)
July 7, 2000))
:
Filed: January 14, 2002)
)
For: REFLECTING TYPE OPTICAL : January 14, 2002
SYSTEM)

Commissioner for Patents
BOX PATENT APPLICATION
Washington, DC 20231

REQUEST FOR APPROVAL TO AMEND THE DRAWINGS

Sir:

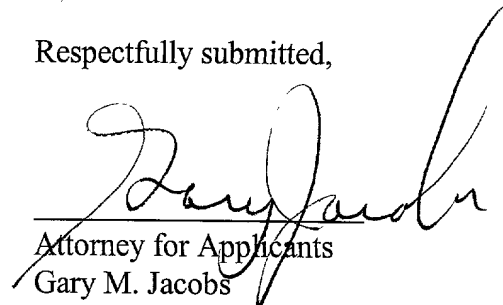
Enclosed, in accordance with U.S. Patent and Trademark Office practice, are proposed drawing changes, in red ink, wherein each of Figs. 4, 6, 8, 10, 13, 15, 17, 19, 21, and 23 have been amended by deleting the figure legend, and adding separate figure legends A-F (e.g., Fig. 4 has been amended to delete the figure legend --FIG. 4--, and to add figure legends --FIG. 4A--, --FIG. 4B--, --FIG. 4C--, --FIG. 4D--, --FIG. 4D--, --FIG. 4E--, and --FIG. 4F--).

These amendments were approved in grandparent Application No. 08/606,824 filed February 26, 1996, and parent Application No. 09/612,290 filed July 7, 2000.

Approval hereof is earnestly solicited.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our below-listed address.

Respectfully submitted,



Attorney for Applicants
Gary M. Jacobs
Registration No. 28,861

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
New York, New York 10112-3801
Facsimile: (212) 218-2200

GMM/lp

DC_MAIN 82731 v 1

00865.004059.2

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
: Examiner: S. Sugarman
KENICHI KIMURA, ET AL.)
: Group Art Unit: 2873
Appln. No.: Unassigned)
(Divisional of)
Appln. No.)
09/612,290 filed)
July 7, 2000))
:
Filed: January 14, 2002)
)
For: REFLECTING TYPE OPTICAL : January 14, 2002
SYSTEM)

Commissioner for Patents
BOX PATENT APPLICATION
Washington, DC 20231

LETTER TRANSMITTING CORRECTED FORMAL DRAWINGS

Sir:

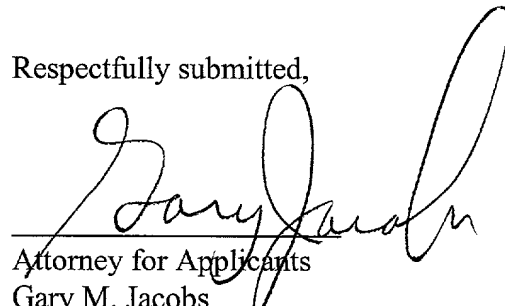
Transmitted herewith are thirteen (13) sheets of formal drawings to be substituted for the corresponding drawing sheets presently on file in the above-referenced application.

The new drawing sheets effect the changes set forth in the attached Request for Approval to Amend the Drawings, and were filed in Application No. 08/606,824, the parent of the above-referenced application, on July 7, 2000.

Favorable consideration hereof is requested.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our below-listed address.

Respectfully submitted,



Attorney for Applicants
Gary M. Jacobs
Registration No. 28,861

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
New York, New York 10112-3801
Facsimile: (212) 218-2200
GMJNlp
DC_MAIN 82732 v 1